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INTERNATIONAL APPLICATION NO.  
PCT/JP00/00474

INTERNATIONAL FILING DATE  
January 28, 2000

PRIORITY DATE CLAIMED  
January 28, 2000

**TITLE OF INVENTION**

Communication Management Table Transfer System, Manager, Encryptor, and Communication Management...

**APPLICANT(S) FOR DO/EO/US**

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known) <b>097870800</b> Unassigned		INTERNATIONAL APPLICATION NO. <b>PCT/JP00/00474</b>		<b>JC05 Rec'd PCT/PTO</b> <b>03 AUG 2001</b> ATTORNEY'S DOCKET NUMBER <b>018773-030</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b>	
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00 (960)  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00 (970)  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 (958)  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00 (956)  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 (962)					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$ 860.00</b>	
Surcharge of \$130.00 (154) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				20 <input type="checkbox"/> 30 <input type="checkbox"/> \$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	12 -20 =	0	X\$18.00 (966)	\$	0
Independent Claims	4 -3 =	1	X\$80.00 (964)	\$	80.00
Multiple dependent claim(s) (if applicable)					+ \$270.00 (968)
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 940.00</b>	
Reduction for 1/2 for filing by small entity, if applicable (see below).				\$	
<b>SUBTOTAL =</b>				<b>\$ 940.00</b>	
Processing fee of \$130.00 (156) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).				20 <input type="checkbox"/> 30 <input type="checkbox"/> \$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 940.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 980.00</b>	
				Amount to be: refunded \$	
				charged \$	
a. <input type="checkbox"/> Small entity status is hereby claimed. b. <input checked="" type="checkbox"/> A check in the amount of \$ <u>980.00</u> to cover the above fees is enclosed. c. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
Platon N. Mandros BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620					
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<u>22,124</u> REGISTRATION NUMBER					

09/890800

JC05 Rec'd PCT/PTO 03 AUG 2001

Patent  
Attorney's Docket No. 018773-030

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
 )  
Noriko TAKEDA et al. ) Group Art Unit: Unassigned  
 )  
Application No.: Unassigned ) Examiner: Unassigned  
 )  
Filed: August 3, 2001 )  
 )  
For: Communication Management Table... )

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-captioned patent application, kindly enter the following amendment.

**IN THE SPECIFICATION:**

*Kindly replace the paragraph beginning at page 2, line 1, with the following:*

-- On transferring data via the Internet, IP security compliant system is used for preventing an attack from the outside. Here, IP security means security securing system at IP packet level, defined by the IETF (Internet Engineering Task Force) which is a standardization organization for the Internet communication rules. --

**IN THE CLAIMS:**

*Kindly replace Claim 9 as follows.*

the encryptor further comprising:

*Kindly replace Claim 10 as follows.*

the encryptor further comprising:

an certification key for secret communication exchanger for sharing an certification key for secret communication used for secret communication with the other encryptor through the Internet, with the other encryptor by using the public key included in the communication management table of the encryptor side.

## REMARKS

Date: August 3, 2001

Attachment to Preliminary Amendment dated August 3, 2001

## Marked-up Copy

Page 2, Paragraph Beginning at Line 1

On transferring data via the Internet, IP [security] security compliant system is used for preventing an attack from the outside. Here, IP [security] security means security securing system at IP packet level, defined by the IETF (Internet Engineering Task Force) which is a standardization organization for the Internet communication rules.

**Attachment to Preliminary Amendment dated August 3, 2001**

**Marked-up Claims**

9. The encryptor of claim 7, wherein the communication management table includes a public key, and

the encryptor further comprising:

a secret key for secret [key] communication exchanger for sharing a secret key for secret communication used for secret communication with the other encryptor through the Internet, with the other encryptor by using the public key included in the communication management table of the encryptor side.

*Kindly replace Claim 10, and add new Claim 10, as follows.*

10. The encryptor of claim 7, wherein the communication management table includes a public key, and

the encryptor further comprising:

an certification key for secret [key] communication exchanger for sharing an certification key for secret communication used for secret communication with the other encryptor through the Internet, with the other encryptor by using the public key included in the communication management table of the encryptor side.

## ENGLISH TRANSLATION FOR PCT/JP00/00474

## SPECIFICATION

Communication Management Table Transfer System, Manager, Encryptor,  
and Communication Management Table Transfer Method

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## Technical Field

The present invention relates to a communication management table  
transfer system including plural encryptors mutually connected through the  
Internet and a manager managing communication management table used  
10 by the plural encryptors for communication, and further relates to  
improvement of the security and the performance of the communication.

## Background Art

Recently, system employing Virtual Private Network (VPN) has  
15 become popular. The VPN is a network in which a public network such as  
the Internet is virtually utilized as a private network using security  
technique such as encryption of data or authentication of a user. The  
virtual private network system enables to connect plural organizations  
through the public network as if they use exclusive communication lines like  
20 their internal network.

Fig. 13 shows an example of the virtual private network system. A  
reference numeral 1 shows the Internet, 11, 21, and 31 are encryptors, 12, 22,  
and 32 are routers, 13, 23, and 33 are firewalls, 14, 24, and 34 are subnets  
(internal networks), 15, 25, and 35 show communication terminals, and 36  
25 shows a manager. These elements are connected as shown in the figure.

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initialization notice (S101). When the manager 36 receives the encryptor initialization notice (S101), the manager 36 sends a response to the encryptor initialization notice (S102). On receiving the response to the encryptor initialization notice (S102), the encryptor A11 issues a command to obtain the communication management table (S103) unconditionally, and the communication management table is thus transferred (S104).

Fig. 15 shows a transfer process of the communication management table on rebooting according to the related art. The manager 36 sends a reboot instruction (S201), and the encryptor A11 is rebooted after the encryptor A11 sends a response to the reboot instruction (S202). Hereinafter, the operation will be the same as one shown in Fig. 14.

In the above-described system, the number of transferring the communication management table is large, which decreases the performance of data transfer.

Further, there is another problem with respect to the security of the communication, that is, the number of chances may be increased that the communication management table is stolen by an improper user. Namely, the public key or the configuration information of the internal network may be stolen, and the secrecy of the data transfer between the encryptors cannot be secured.

The present invention is provided to eliminate the above conventional problems. The invention aims to reduce the number of transferring the communication management table, improve the performance of data transfer, reduce the chances of improper use of the communication management table, and thus the security of the

communication can be increased.

#### Disclosure of the Invention

According to the present invention, a communication management  
5 table transfer system includes:

plural encryptors connected to each other through Internet; and

a manager which manages the communication management table  
used for communication among the plural encryptors,

wherein each of the plural encryptors includes:

10 a communication management table memory of an encryptor side for  
storing a communication management table of the encryptor side which is  
the communication management table to be stored in the each of the plural  
encryptors;

a communication management table version memory of the  
15 encryptor side for storing a communication management table version of the  
encryptor side which is a version of the communication management table of  
the encryptor side; and

a communication management table version sender for sending the  
communication management table version of the encryptor side to the  
20 manager;

wherein the manager includes:

a communication management table memory of a manger side for  
storing a communication management table of the manager side which is the  
communication management table to be stored in the manager;

25 a communication management table version memory of the manager

side for storing a communication management table version of the manager side which is a version of the communication management table of the manager side;

a communication management table version receiver for receiving  
5 the communication management table version of the encryptor side from the encryptor;

a communication management table version checker for checking  
and finding mismatch of the communication management table version of  
the encryptor side received and the communication management table  
10 version of the manager side; and

a communication management table sender for sending the  
communication management table of the manager side when the mismatch  
is found by the communication management table version checker,

wherein the encryptor further includes a communication  
15 management table receiver for receiving the communication management  
table of the manager side from the manager, and

wherein the communication management table memory of the  
encryptor side stores the communication management table of the manager  
side received by the communication management table receiver as the  
20 communication management table of the encryptor side.

The communication management table transfer system of the  
invention,

wherein the communication management table sender further sends  
the communication management table version of the manager side when the  
25 mismatch is found by the communication management table version checker,

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wherein the communication management table receiver further receives the communication management table version of the manager side from the manager, and

wherein the communication management table version memory of  
5 the encryptor side stores the communication management table version of the manager side received by the communication management table receiver as the communication management table version of the encryptor side.

According to the present invention, a manager managing a communication management table used for communication among plural  
10 encryptors connected to each other through Internet includes:

a communication management table memory of a manger side for storing a communication management table of the manager side which is the communication management table to be stored in the manager;

a communication management table version memory of the manager  
15 side for storing a communication management table version of the manager side which is a version of the communication management table of the manager;

a communication management table version receiver for receiving a communication management table version of an encryptor side which is a  
20 version of the communication management table of the encryptor side to be store in the encryptor from each of the plural encryptors;

a communication management table version checker for checking and finding mismatch of the communication management table version of the encryptor side received and the communication management table  
25 version of the manager side; and

a communication management table sender for sending the communication management table of the manager side when the mismatch is found by the communication management table version checker.

The manager of the invention, wherein the communication  
5 management table sender further sends the communication management table version of the manager side when the mismatch is found by the communication management table version checker.

The manager of the invention further includes a communication management table updater of the manager side for updating the  
10 communication management table of the manager side and the communication management table version of the manager side correspondingly.

The manager of the invention further includes a communication management table update information receiver for receiving communication  
15 management table update information which is information to be updated within the communication management table of the manager side.

According to the present invention, an encryptor connected to another encryptor through Internet and of which a communication management table used for communication is managed by a manager, the  
20 encryptor includes:

a communication management table memory of an encryptor side for storing a communication management table of the encryptor side which is the communication management table to be stored in the encryptor;

a communication management table version memory of the  
25 encryptor side for storing a communication management table version of the

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encryptor side which is a version of the communication management table of the encryptor side;

a communication management table version sender for sending the communication management table version of the encryptor side to the manager; and

a communication management table receiver for receiving a communication management table of a manager side which is the communication management table to be stored in the manager from the manager, and

wherein the communication management table memory of the encryptor side stores the communication management table of the manager side received by the communication management table receiver as the communication management table of the encryptor side.

The encryptor of the invention, wherein:

the communication management table receiver further receives a communication management table version of the manager side which is a version of the communication management table of the manager side from the manager; and

the communication management table version memory of the encryptor side stores the communication management table version of the manager side received by the communication management table receiver as the communication management table version of the encryptor side.

The encryptor of the invention, wherein the communication management table includes a public key, and

the encryptor further comprising:

a secret key for secret key communication exchanger for sharing a secret key for secret communication used for secret communication with the other encryptor through the Internet, with the other encryptor by using the public key included in the communication management table of the encryptor side.

The encryptor of the invention, wherein the communication management table includes a public key, and

the encryptor further includes:

an certification key for secret key communication exchanger for sharing an certification key for secret communication used for secret communication with the other encryptor through the Internet, with the other encryptor by using the public key included in the communication management table of the encryptor side.

The encryptor of the invention, wherein:

the other encryptor is connected to a subnet; and

the communication management table includes subnet configuration information which is information related to a configuration of the subnet, and

the encryptor further includes:

an Internet communicating unit for communicating with the other encryptor through the Internet based on the subnet configuration information included in the communication management table of the encryptor side.

According to the present invention, a method for transferring a communication management table used for a communication management









management table version decryptor, 2004 shows a communication management table memory of the manager side, 2005 shows a communication management table version memory of the manager side, 2006 shows a communication management table version checker, 2007 shows a communication management table download instructor, and 2008 shows a communication management table sender.

Fig. 3 shows a procedure of transferring the communication management table on turning an electric power on according to the embodiment. Hereinafter, this procedure will be described referring to the configurations shown in Figs. 1 and 2.

At an encryptor A11 side, on turning electric power on, the power controller 1001 instructs initialization to the initializer 1003. When the initialization is completed, the initializer 1003 notifies the initialization completion notifier 1007 of completion of initialization. The initialization completion notifier 1007 sends an encryptor initialization completion notice (S301) to the initialization completion receiver 2002 of a manager 36. At this time, the encryptor initialization completion notice (S301) includes communication management table version encrypted by a public key of the manager 36.

The communication management table version is stored in the communication management table version memory 1005 of the encryptor side. The communication management table version stored in the communication management table version memory 1005 of the encryptor side is made correspondence to the communication management table of the communication management table memory 1004 of the encryptor side. In

this example, the communication management table version memory 1005 of the encryptor side is included in the communication management table memory 1004 of the encryptor side, however, the communication management table version memory 1005 can be separated from the communication management table memory 1004 of the encryptor side.

The communication management table version encryptor 1006 is configured to read the communication management table version from the communication management table version memory 1005 of the encryptor side, encrypt the communication management table version, and send the encrypted communication management table version to the initialization completion notifier 1007.

At the manager 36 side, the initialization completion receiver 2002 receives the encryptor initialization completion notice (S301), and the communication management table version decryptor 2003 decrypts the encrypted communication management table version. On the other hand, the communication management table checker 2006 reads the communication management table version stored at the manager 36 side from the communication management table version memory 2005 of the manager side. And then, the communication management table version checker 2006 compares these communication management table versions. Here, the communication management table version memory 2005 of the manager side is included in the communication management table memory 2004 of the manager side, however, they can be separated as long as the communication management table is made correspondence to the communication management table version.

5           On receiving the notice of the mismatch, the communication  
management table download instructor 2007 sends a communication  
management table download instruction (S302) to the communication  
management table download controller 1008 of the encryptor A11.

On receiving the instruction to obtain the communication management table, the communication management table receiver 1009 sends a command to obtain the communication management table (S103) to the communication management table sender 2008 of the manager 36.

25           At the encryptor A11 side, on finishing receiving the communication

management table, the communication management table receiver 1009 notifies the communication management table download controller 1008 of the completion of obtaining the communication management table. The communication management table download controller 1008 sends response  
5 to the communication management table download instruction (S105) to the communication management table download instructor 2007 of the manager 36. Further, the communication management table receiver 1009 stores the received communication management table in the communication management table memory 1004 of the encryptor side.

10 In the above example, the file of the communication management table including the communication management table version is transferred and stored in the communication management table memory 1004 of the encryptor side. However, the communication management table version can be separated from the communication management table. Namely, the  
15 file of the communication management table without the communication management table version and the file of the communication management table version can be transferred separately.

In this way, when the communication management table versions mismatch, the communication management table is transferred from the  
20 manager 36 to the encryptor A11. Further, the communication management table version is also transferred.

Fig. 4 shows a procedure of omitting the transfer of communication management table on turning an electric power on. Hereinafter, this procedure will be explained referring to the configuration shown in Figs. 1

25 and 2.

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The procedure up to the step where the communication management table version checker 2006 compares the communication management table versions is the same as described above.

As a result of comparison, when the communication management  
5 table versions match, the communication management table version checker  
2006 notifies the match to the initialization completion receiver 2002.

The initialization completion receiver 2002 sends response to the  
encryptor initialization completion notice (S102) to the initialization  
completion notifier 1007. When the initialization completion notifier 1007  
10 receives the encryptor initialization completion notice (S102), the procedure  
terminates. Namely, the communication management table is not  
transferred in case that the communication management table versions  
match.

The timing at which the encryptor A11 sends the communication  
15 management table version and the manager 36 checks the communication  
management table version is not limited to the timing of initialization. It  
can be another timing, for example, the timing of reboot, or a certain  
periodical timing.

Fig. 5 shows a procedure of transferring the communication  
20 management table on rebooting according to the embodiment. Further, Fig.  
6 shows a procedure of omitting the transfer of the communication  
management table on rebooting according to the embodiment. The  
procedures are the same as ones shown in Figs. 3 and 4 except that the  
procedures start at rebooting based on a reboot instruction (S201) and a  
25 reboot instruction response (S202).

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In the following, the configuration of the communication management table will be explained. Figs. 7, 8, and 9 show the configuration of the communication management table according to the present embodiment.

5 As well as a communication management table version 90, the communication management table includes Internet communication information such as Internet communication information A50, Internet communication information B60, and so on and subnet configuration information such as subnet configuration information A70, subnet  
10 configuration information B80, and so on.

The Internet communication information A50 is necessary for the encryptor A11 on communicating with another encryptor through the Internet 1. The Internet communication information B60 is also necessary for the encryptor B21 on communicating with another encryptor through the  
15 Internet 1.

Reference numerals 51, 61 show Internet addresses, 52, 62 show identifiers for the encryptors, 53, 63 show certificates, and 54, 64 show effective dates. The certificate includes the public key for SA.

The subnet configuration information A70 is information related to  
20 the configuration of a subnet 14. The figure shows information for one record, however, another record may be added when many communication terminals are included in the configuration of the subnet 14. This is the same as for the subnet configuration information B80.

Reference numerals 71, 81 show identifiers of the encryptors, 72, 82  
25 show network addresses, and 73, 83 show net masks.

In case of an example shown in Fig. 7, the communication management table version 90 includes one version, corresponding to the updated status of the whole communication management table.

In case of an example shown in Fig. 8, the communication management table version 90 includes plural versions such as encryptor A information version 91, encryptor B information version 92, and so on. The encryptor A information version 91 corresponds to the updated status of the Internet communication information A50 and the subnet configuration information A70, and so on (including another subnet configuration information, if there exists any).

In case of an example shown in Fig. 9, the communication management table version 90 is subdivided and includes versions of encryptor A Internet communication information version 93, encryptor A subnet configuration information version 94, encryptor B Internet communication information version 95, encryptor B subnet configuration information version 96, and so on. The encryptor A Internet communication information version 93 corresponds to the updated status of the Internet communication information A50. The encryptor A subnet configuration information version 94 corresponds to the updated status of the subnet configuration information A70, and so on (including another subnet configuration information, if there exists any).

In cases of Figs. 8 and 9, it is possible to correspond the version to each information by storing a device identifier or an information identifier corresponding to each version.

The manager 36 includes a communication management table

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information version 94 for the encryptor A corresponding to the communication management table update information.

In case of subdividing the communication management table version as shown in Figs. 8 and 9, it is also effective that the communication management table version checker 2006 compares the communication management table version for each subdivided version, and only part of the mismatched version of the communication management table can be transferred by communication management table transfer (S104). In such a case, information indicating the transferred part is added to the communication management table download instruction (S302). The communication management table receiver 1009 updates only the indicated part of the communication management table memory 1004 of the encryptor side and also updates only the indicated part of the communication management table version memory 1005 of the encryptor side.

Next, an operation of establishing SA using the public key for SA included in the communication management table will be explained. Fig. 10 shows data flow on establishing SA. In this example, the encryptor A11 requests to establish SA, and the encryptor B21 responds to the request for establishing SA. Each encryptor has a secret key memory 1013 for SA storing a secret key for SA of its own encryptor and a certification key and secret key for secret communication exchanger 1010 for sharing a secret key 1011 for secret communication and a certification key 1012 for secret communication. The certification key and secret key for secret communication exchanger 1010 is configured so as to input the secret key for SA of its own encryptor and the public key for SA of the partner's encryptor.

The certification key and secret key for secret communication exchanger 1010 of the encryptor A11 generates a random number Xa, signatures, encrypts, and sends to the encryptor B21 (S501). The certification key and secret key for secret communication exchanger 1010 of the encryptor B21 generates a random number Xb. The certification key and secret key for secret communication exchanger 1010 of the encryptor B21 generates the secret key 1011 for secret communication and the certification key 1012 for secret communication by combining the random number Xb with the random number Xa. Further, the certification key and secret key for secret communication exchanger 1010 of the encryptor B21 signatures and encrypts hashed values of Xb and Xa, and sends them to the encryptor A11 (S502). The certification key and secret key for secret communication exchanger 1010 of the encryptor A11 generates the secret key 1011 for secret communication and the certification key 1012 for secret communication by combining the random numbers Xa and Xb, and checks the received hashed values. Further, the certification key and secret key for secret communication exchanger 1010 of the encryptor A11 sends the hashed value of the random number Xb to the encryptor B21 (S503). The certification key and secret key for secret communication exchanger 1010 of the encryptor B21 checks the received hashed value. Through the above procedure, SA is established. Consequently, both partners obtain the secret key 1011 for secret communication and the certification key 1012 for secret communication shared with each other.

In the following, an operation of the secret communication performed after establishing SA will be explained. Fig. 11 shows data flow of the secret

communication. In this example, the encryptor A11 sends data, and the encryptor B21 receives the data. The illustrated communication is only one of examples, since the communication can be bidirectional between the encryptors which have already established SA.

5 Each encryptor includes an Internet communication unit 1014 and a subnet communication unit 1015. The Internet communication unit 1014 controls the communication through the Internet 1, and the subnet communication unit 1015 controls the communication through the subnet.

10 In the Internet communication unit 1014 at the sender side, an encryption unit 1016, a certification unit 1017, and an encapsulation unit 1018 operate. In the Internet communication unit 1014 at the receiver side, a certification unit 1019, a decryption unit 1020, and a decapsulation unit 1021 operate. Within these operations, the secret key 1011 for secret communication is used for encryption algorithm, and the certification key 15 1012 for secret communication is used for authentication algorithm.

Further, the subnet configuration information included in the communication management table is used for communication to the subnet connected to another encryptor. As shown in Fig. 12, the subnet configuration information is used within the Internet communication unit 20 1014.

### Industrial Applicability

According to the present invention, the communication management table version is managed between the manager and the encryptor. When 25 the communication management tables are judged as identical between the

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manager and the encryptor, the transfer of the communication management table is omitted. Therefore, the number of transferring the communication management table is reduced, which enormously improves performance and security of data communication.









table sender further sends the communication management table version of the manager side when the mismatch is found by the communication management table version checker.

5. The manager of claim 3 further comprising a communication management table updater of the manager side for updating the communication management table of the manager side and the communication management table version of the manager side correspondingly.

6. The manager of claim 5 further comprising a communication management table update information receiver for receiving communication management table update information which is information to be updated within the communication management table of the manager side.

7. An encryptor connected to another encryptor through Internet and of which a communication management table used for communication is managed by a manager, the encryptor comprising:

a communication management table memory of an encryptor side for storing a communication management table of the encryptor side which is the communication management table to be stored in the encryptor;

a communication management table version memory of the encryptor side for storing a communication management table version of the encryptor side which is a version of the communication management table of the encryptor side;

a communication management table version sender for sending the communication management table version of the encryptor side to the manager; and

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10. The encryptor of claim 7, wherein the communication management table includes a public key, and

the encryptor further comprising:

an certification key for secret key communication exchanger for  
5 sharing an certification key for secret communication used for secret communication with the other encryptor through the Internet, with the other encryptor by using the public key included in the communication management table of the encryptor side.

11. The encryptor of claim 7, wherein:

10 the other encryptor is connected to a subnet; and

the communication management table includes subnet configuration information which is information related to a configuration of the subnet, and

the encryptor further comprising:

15 an Internet communicating unit for communicating with the other encryptor through the Internet based on the subnet configuration information included in the communication management table of the encryptor side.

12. A method for transferring a communication management table used  
20 for a communication management table transfer system including:

plural encryptors connected to each other through Internet, each of which has a communication management table memory of an encryptor side for storing a communication management table of the encryptor side and a communication management table version memory for storing a  
25 communication management table version of the encryptor side; and

a manager managing the communication management table used for communication among the plural encryptors, which has a communication management table memory of a manager side for storing a communication management table of the manager side and a communication management table version memory for storing a communication management table version of the manager side,

the method comprising:

sending the communication management table version of the encryptor side to the manager by the encryptor;

receiving the communication management table version of the encryptor side from the encryptor by the manager;

checking and finding mismatch of the communication management table version of the encryptor side received and the communication management table version of the manager side by the manager;

sending the communication management table of the manager side by the manager when the mismatch is found by the checking and finding;

receiving the communication management table of the manager side from the manager by the encryptor; and

storing the communication management table of the manager side received as the communication management table of the encryptor side by the encryptor.

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## Abstract

The present invention relates to a communication management table transfer system including plural encryptors mutually connected through the Internet and a manager which manages the communication management  
5 table used for the communication among the plural encryptors. The invention aims to improve security and performance of the communication.

On receiving a communication management table version from an encryptor 11 (S301), a manager 36 compares the received communication management table version with the communication management table  
10 version stored in a communication management table version memory 2005 of the manager side by using a communication management table checker 2006. The manager 36 transfers the communication management table to the encryptor 11 only when the mismatch is found (S104).

Fig. 1

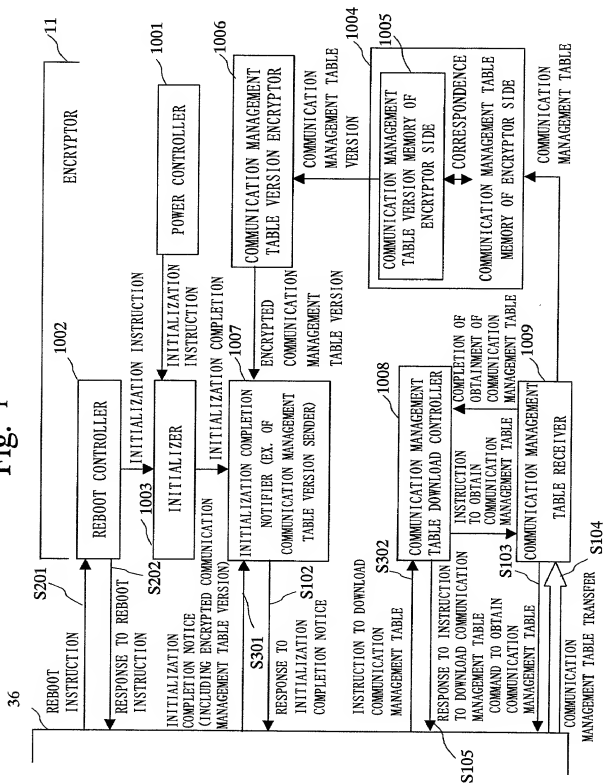
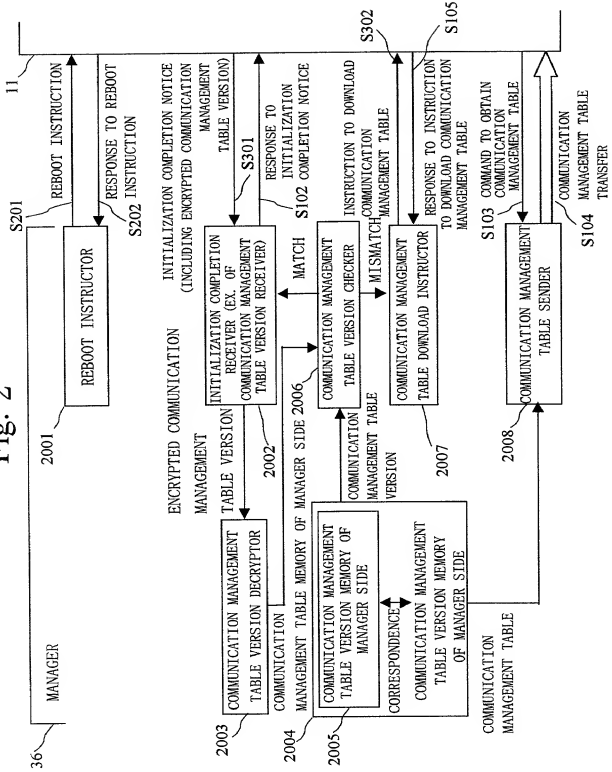




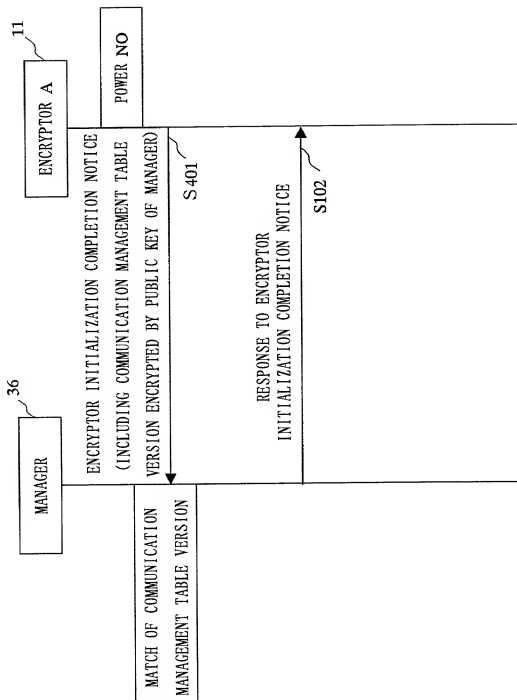
Fig. 2





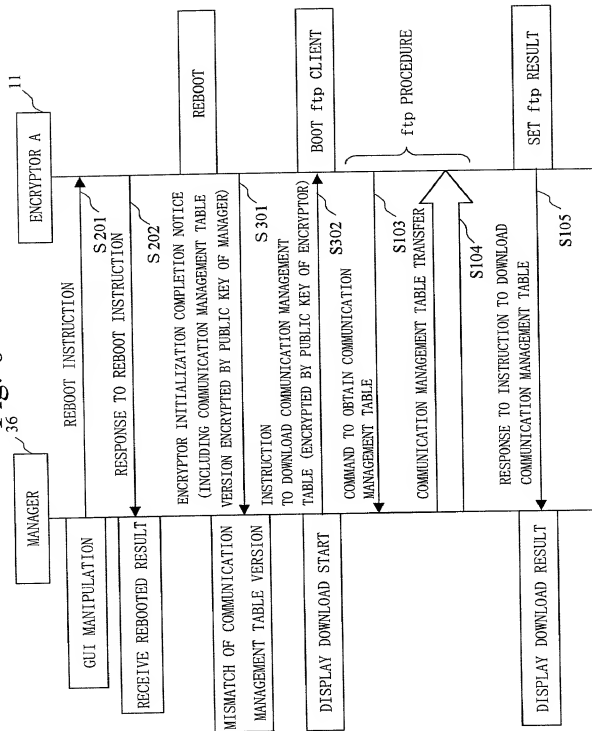
4/15

Fig. 4



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Fig. 5





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Fig. 7

90	COMMUNICATION MANAGEMENT TABLE VERSION		
			51
50	INTERNET COMMUNICATION INFORMATION A	INTERNET ADDRESS A	52
		IDENTIFIER FOR ENCRYPTOR A	53
		CERTIFICATE A (INCLUDING PUBLIC KEY A FOR SA)	54
		EFFECTIVE DATE	61
60	INTERNET COMMUNICATION INFORMATION B	INTERNET ADDRESS B	62
		IDENTIFIER FOR ENCRYPTOR B	63
		CERTIFICATE B (INCLUDING PUBLIC KEY B FOR SA)	64
		EFFECTIVE DATE	
	---		
70	SUBNET CONFIGURATION INFORMATION A	IDENTIFIER FOR ENCRYPTOR A	71
		NETWORK ADDRESS	72
		NET MASK	73
			81
80	SUBNET CONFIGURATION INFORMATION B	IDENTIFIER FOR ENCRYPTOR B	82
		NETWORK ADDRESS	83
		NET MASK	
	---		

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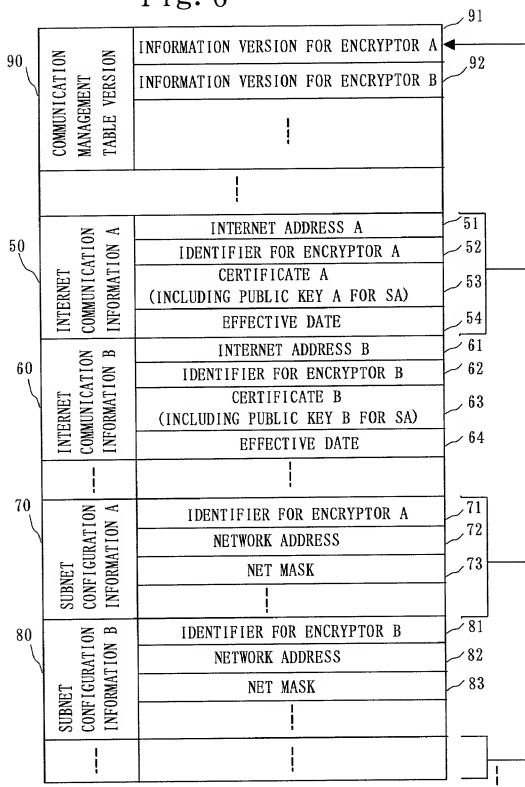
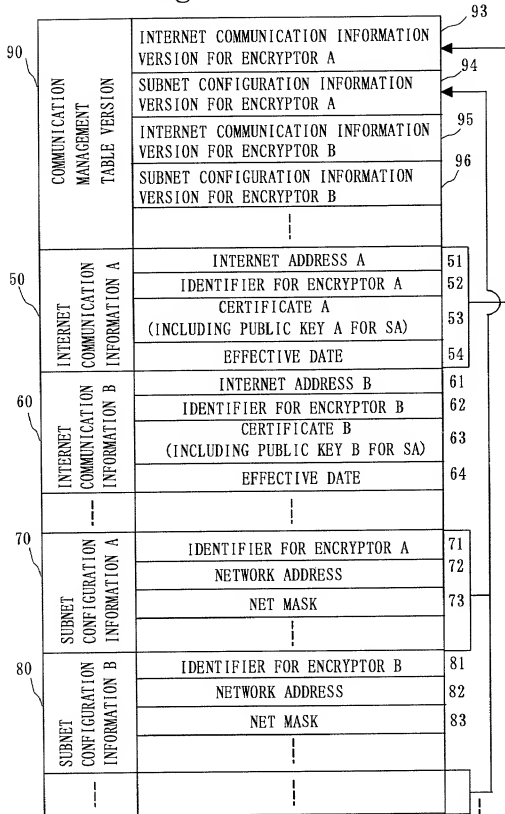


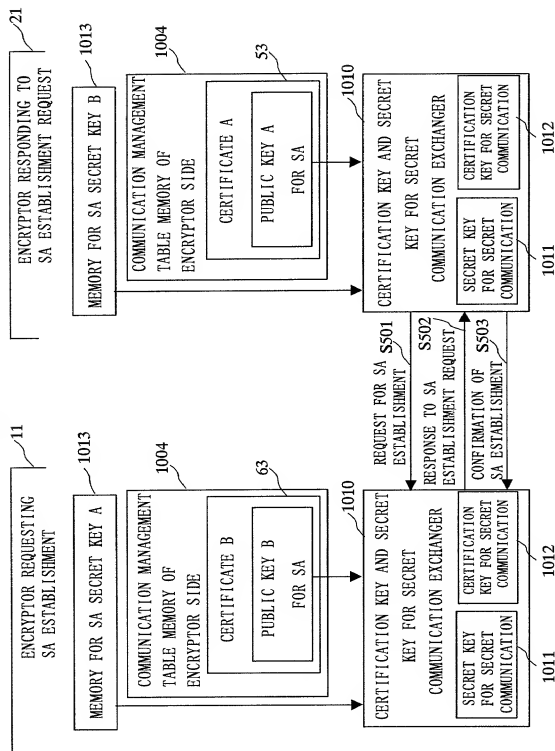
Fig. 9





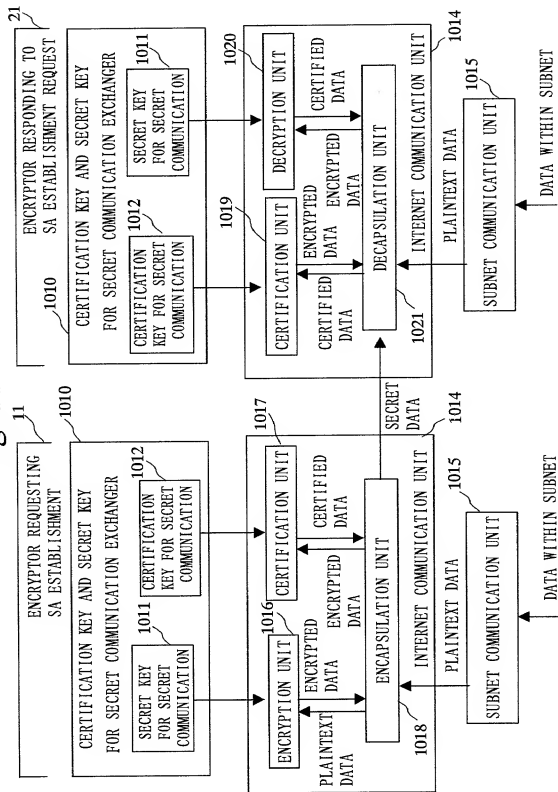
10/15

Fig. 10



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Fig. 11



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Fig. 12

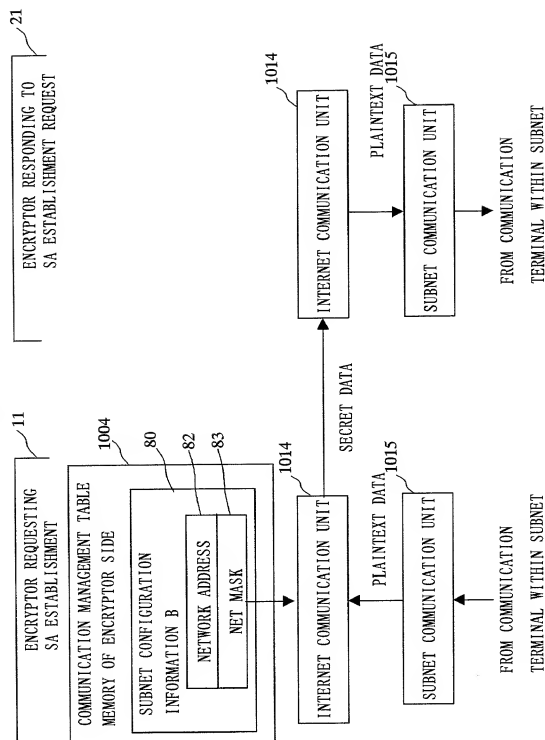


Fig. 13

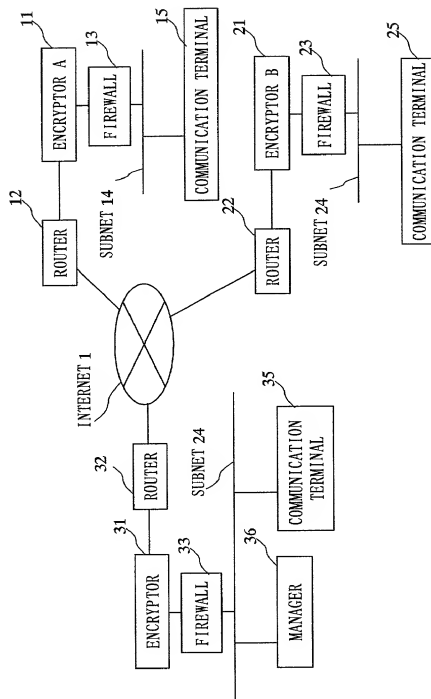
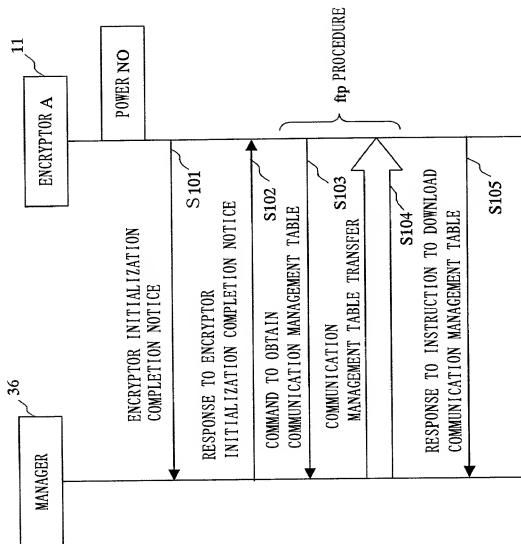
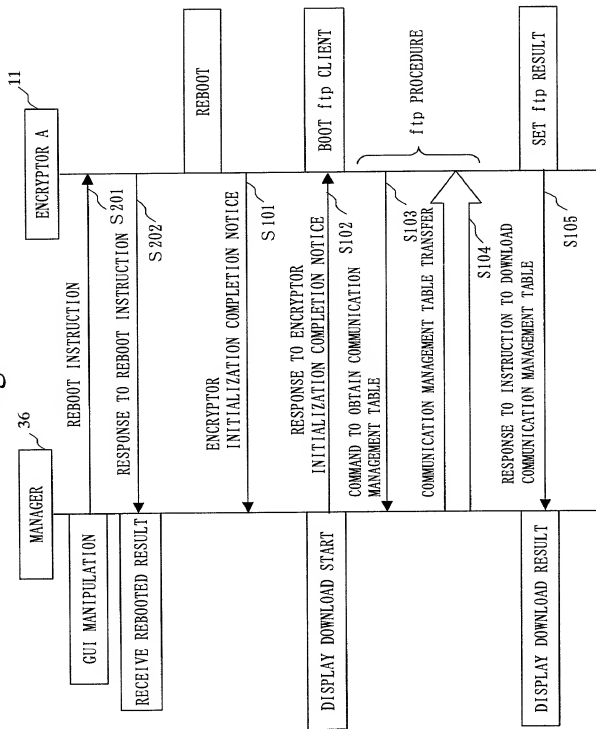


Fig. 14



15/15

Fig. 15



U.S. APPLICATION NO. (If known./ see 37 C.F.R. 1.50) <b>Unassigned</b> <span style="font-size: 1.5em; font-weight: bold;">097/890800</span>		INTERNATIONAL APPLICATION NO. <b>PCT/JP00/00474</b>		ATTORNEY'S DOCKET NUMBER <b>018773-030</b>																																																																						
17. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b>	PTO USE ONLY																																																																					
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00 (960)  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00 (970)  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 (958)  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$650.00 (556)  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 (962)																																																																										
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$ 860.00</b>																																																																						
Surcharge of \$130.00 (154) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				20 <input type="checkbox"/> 30 <input type="checkbox"/> \$																																																																						
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:20%;">Claims</th> <th style="width:20%;">Number Filed</th> <th style="width:20%;">Number Extra</th> <th style="width:20%;">Rate</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>12 -20 =</td> <td>6</td> <td>X\$18.00 (966)</td> </tr> <tr> <td>Independent Claims</td> <td>4 -3 =</td> <td>1</td> <td>X\$80.00 (964)</td> </tr> <tr> <td colspan="3"></td> <td>+ \$270.00 (968)</td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$ 940.00</b></td> </tr> <tr> <td colspan="4" style="padding: 5px;">           Reduction for 1/2 for filing by small entity, if applicable (see below).         </td> <td style="text-align: right; padding: 5px;">\$ -</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right; padding: 5px;"> <b>SUBTOTAL =</b> </td> <td style="text-align: right; padding: 5px;"> <b>\$ 940.00</b> </td> <td></td> </tr> <tr> <td colspan="4" style="padding: 5px;">           Processing fee of \$130.00 (156) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).         </td> <td style="text-align: right; padding: 5px;">         20 <input type="checkbox"/> 30 <input type="checkbox"/> \$       </td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right; padding: 5px;"> <b>TOTAL NATIONAL FEE =</b> </td> <td style="text-align: right; padding: 5px;"> <b>\$ 940.00</b> </td> <td></td> </tr> <tr> <td colspan="4" style="padding: 5px;">           Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +         </td> <td style="text-align: right; padding: 5px;"> <b>\$ 40.00</b> </td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right; padding: 5px;"> <b>TOTAL FEES ENCLOSED =</b> </td> <td style="text-align: right; padding: 5px;"> <b>\$ 980.00</b> </td> <td></td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right; padding: 5px;">           Amount to be:         </td> <td></td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right; padding: 5px;">           refunded \$         </td> <td></td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right; padding: 5px;">           charged \$         </td> <td></td> </tr> </tbody></table> <div style="padding: 10px;"> <p>a. <input type="checkbox"/> Small entity status is hereby claimed.</p> <p>b. <input checked="" type="checkbox"/> A check in the amount of \$ <u>980.00</u> to cover the above fees is enclosed.</p> <p>c. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u>. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> </div> <div style="display: flex; justify-content: space-between; align-items: flex-start; margin-top: 20px;"> <div style="width: 45%;"> <p>SEND ALL CORRESPONDENCE TO:</p> <p><b>Platon N. Mandros</b>  <b>BURNS, DOANE, SWECKER &amp; MATHIS, L.L.P.</b>  <b>P.O. Box 1404</b>  <b>Alexandria, Virginia 22313-1404</b>  <b>(703) 836-6620</b></p> </div> <div style="width: 45%; text-align: center;"> <p><i>William Chouling RN 30888, for</i></p> <p>SIGNATURE</p> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <p>Platon N. Mandros</p> <p>NAME</p> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <p>22,124</p> <p>REGISTRATION NUMBER</p> </div> </div>	Claims	Number Filed	Number Extra	Rate	Total Claims	12 -20 =	6	X\$18.00 (966)	Independent Claims	4 -3 =	1	X\$80.00 (964)				+ \$270.00 (968)	<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$ 940.00</b>	Reduction for 1/2 for filing by small entity, if applicable (see below).				\$ -		<b>SUBTOTAL =</b>				<b>\$ 940.00</b>		Processing fee of \$130.00 (156) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).				20 <input type="checkbox"/> 30 <input type="checkbox"/> \$		<b>TOTAL NATIONAL FEE =</b>				<b>\$ 940.00</b>		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +				<b>\$ 40.00</b>		<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 980.00</b>						Amount to be:						refunded \$						charged \$	
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# Declaration and Power of Attorney for Patent Application

特許出願宣告書及び委任状

## Japanese Language Declaration

日本語宣告書

下記の氏名の発明者として、私は以下の通り宣言します。

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

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\_\_\_\_\_  
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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) or the subject matter which is claimed and for which a patent is sought on the invention entitled

Communication Management Table  
Transfer System, Manager, Encryptor,  
and Communication Management Table  
Transfer Method

the specification of which is attached hereto unless the following box is checked:

☒ was filed on January 28, 2000  
as United States Application Number or  
PCT International Application Number  
PCT/JP00/00474 and was amended on  
\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.



# Japanese Language Declaration

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私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国外の国の少なくとも一ヶ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

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## Prior Foreign Application(s)

外国での先行出願

Priority Not Claimed  
優先権主張なし

Number (番号)

Country (国名)

Day/Month/Year Filed (出願の年月日)



Number (番号)

Country (国名)

Day/Month/Year Filed (出願の年月日)



私は、第35編米国法典119条(a)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

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Application No. (出願番号)

Filing Date (出願日)

Application No. (出願番号)

Filing Date (出願日)

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Application No. (出願番号)

Filing Date (出願日)

Status: Patented, Pending, Abandoned (実況: 特許中/出願中/放棄中)

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# Japanese Language Declaration

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William L. Mathis	17,337	Ralph L. Freeland, Jr.	16,110
Peter H. Smolka	15,913	Robert G. Mukai	28,331
Robert S. Swecker	19,885	George A. Hovanec, Jr.	28,223
Platon N. Mandros	22,124	James A. LaBarre	28,632
Benton S. Duffett, Jr.	22,030	E. Joseph Gess	28,510
Joseph R. Magnone	24,239	R. Danny Huntington	27,903
Norman H. Stepano	22,716	Eric H. Weisblatt	30,505
Ronald L. Grudziecki	24,970	James W. Peterson	26,057
Frederick G. Michaud, Jr.	26,003	Teresa Stanek Rea	30,427
Alan E. Kopscki	25,813	Robert E. Krebe	25,885
Regis E. Slatner	26,992	Robert M. Schulman	31,196
Samuel C. Miller	27,360		

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

William C. Rowland	30,888
T. Gene Dillahunt	25,423
Anthony W. Shaw	30,104
Patrick C. Keane	32,858
Bruce J. Boggs, Jr.	32,344
William H. Benz	25,952
Peter K. Skiff	31,917
Richard J. McGrath	29,192
Matthew L. Schneider	32,814
Michael G. Savage	32,596
Gerald F. Swiss	30,113

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Platon N. Mandros  
703/836-6620

唯一のまたは第一発明者名		Full Name of sole or first inventor	
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		Japan	
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第二共同発明者の氏名		Full Name of second joint inventor, if any	
Akihiko Sasamoto			
第二発明者の署名	日付	Second inventor's signature	Date
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国籍		Citizenship	
		Japan	
私書箱		Post Office Address	
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(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

# Japanese Language Declaration

第三共同発明者の氏名 <i>300</i>	Full Name of third joint inventor, if any <u>Kazuyuki Adachi</u>
第三発明者の署名 日付	Third inventor's signature Date <i>Kazuyuki Adachi May 25, 2001</i>
住所	Residence <u>Tokyo, Japan JAX</u>
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第四共同発明者の氏名 <i>400</i>	Full Name of fourth joint inventor, if any <u>Seichi Shinoda</u>
第四発明者の署名 日付	Fourth inventor's signature Date <i>Seichi Shinoda May 18, 2001</i>
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第五共同発明者の氏名	Full Name of fifth joint inventor, if any
第五発明者の署名 日付	Fifth inventor's signature Date
住所	Residence
国籍	Citizenship
私書箱	Post Office Address

第六共同発明者の氏名	Full Name of sixth joint inventor, if any
第六発明者の署名 日付	Sixth inventor's signature Date
住所	Residence
国籍	Citizenship
私書箱	Post Office Address

(第七またはそれ以降の共同発明者に対しても同様 (Supply similar information and signature for seventh  
な情報及び署名を提供すること。) and subsequent joint inventors.)